

Asia Financial Markets Explained

Jan 2024 - Dec 2024
For Rising Markets Professionals

TOPICS AND PRESENTERS

Session 1

ESG Reporting: Developments Around the World and New Obligations

Tue 30 Jan 2024, 12nn-1pm HKT

12:00nn-12:05pm Welcome, Poll Question

Yvette Kwan, Executive Adviser – Asset Management Group, ASIFMA

12:05pm-12:30pm Current State of Play – International Disclosure Standards and Impact to Financial Institutions

Armen Dallakyan, Director, Sustainable Finance and ESG Consulting, EY

- Importance of global sustainability disclosures by financial institutions
- Main sustainability disclosure standards and trends
- Overview of key principles of ISSB, CSRD and SEC sustainability disclosures

12:30pm-12:55pm: Evolving Reporting Regulations for Key Asian Markets

Sammie Leung, Partner, Regional ESG Services, PwC Asia Pacific;

Bing Yi Lee, Partner, Sustainability & Climate Change, PwC Singapore

- Overview of key sustainability reporting regulations (present and upcoming) in the region including Singapore, Hong Kong, China and Japan
- Other emerging regulations for financial institutions in key Asian markets, including taxonomies and transition planning
- Key takeaways and what these mean for financial institutions in the region

12:55pm-1:00pm Word Cloud, Q&A

Yvette and all presenters

Session 2

Inflation, Interest Rates and Market Volatility

Tue 27 Feb 2024, 12nn-1pm HKT

12:00nn-12:05pm Welcome, Poll Question

Philippe Dirckx, Managing Director – Head of Fixed Income, ASIFMA

12:05pm-12:20pm What Got Us Here?

Adarsh Sinha, Managing Director, Co-Head of Asia Rates and Currencies Strategy, BofA Securities

- Inflation normalization – now for the hard part
- Bond market supply-demand imbalance
- China deflation spillovers

12:20pm-12:35pm Macroeconomics Overview 2024

Arthur Yu, Manager, Data Science at Economic Research, CME Group

- Inflation and monetary policy
- Geopolitical tension and global supply chain
- China economic overview

12:35pm -12:50pm Implications for Asia

Jamie Yu, Senior Director, Options & Platform Solutions APAC Lead, CME Group

- Monitoring & measuring market volatility to navigate uncertainties
- Gauging insights from options derivatives market data
 - ATM IV, volatility skew & surfaces
- Volatility as a new asset class: Introducing CME Volatility Indices (CVOL)
 - Application & use cases

12:50pm-1:00pm Word Cloud, Q&A

Philippe and all presenters

Session 3

Compliance Tips for Staying on the Right Side of the Regulator

Tue 19 Mar 2024, 12nn-1pm HKT

12:00nn-12:05pm Welcome, Poll Question

Patrick Pang, Managing Director – Head of Compliance and Tax, ASIFMA

12:05pm-12:20pm Compliance Challenges and Solutions for Banks

Dr. Rajeev Chib, Former CAO Financial Institutions Sales & Solutions, Asia Pacific, Citi

- Decision making frameworks for Responsible Finance
- When to escalate misconduct
- Application of Ethical Magnets
- Advanced analytics in monitoring conduct

12:20pm-12:35pm Key Compliance Processes and Controls

Philippa Allen, Managing Director, Regulatory Compliance, Asia, IQ-EQ

- What are the differences between compliance, risk management and internal audit?
- What are the responsibilities of the compliance team?
- Output of compliance team
- How to make interaction between the business and compliance productive

12:35pm -12:50pm Dealing with the Regulator

Emily Rumble, Of Counsel, Gibson Dunn

- Inspection
- Self-reporting
- Enforcement

12:50pm-1:00pm Word Cloud, Q&A

Patrick and all presenters

Session 4

Generative AI in Capital Markets

Thu 25 Apr 2024, 12nn-1pm HKT

12:00nn-12:05pm Welcome, Poll Question

Laurence Van der Loo, Managing Director – Technology & Operations, ASIFMA

12:05pm-12:20pm 101 What is Generative AI and Advantages

Chester Chua, Head of APAC Financial Services Policy, Google Cloud

- What exactly is generative AI? How is it different from regular AI or machine learning?
- How is generative AI related to large language models? What goes into developing these models? Who is involved in this process?
- How will generative AI improve productivity?

12:20pm-12:35pm Challenges and Mitigants

Chris Barford, Partner, Financial Services Consulting, EY

- Overview of risks and considerations for GenAI adoption
- Regulatory expectations on GenAI
- Risks and mitigations

12:35pm -12:50pm Generative AI Use Cases in Capital Markets, The Road to Adoption

Meghavi Chauhan, Director - Technology Innovation, Chief Technology Office, London Stock Exchange Group (LSEG)

- Impact of Generative AI on Capital Markets and ways to leverage Generative AI for Capital market use cases
- How can enterprises adopt Generative AI
- What are some of the current trends with Generative AI

12:50pm-1:00pm Word Cloud, Q&A

Laurence and all presenters

Session 5

Asset Management Explained

Tue 28 May 2024, 12nn-1pm HKT

12:00nn-12:05pm Welcome, Poll Question

Eugenie Shen, Managing Director - Head of Asset Management Group, ASIFMA

12:05pm-12:30pm Overview of Asset Management in Asia

Freddy Wong, Managing Director – Head of Asia Pacific, Fixed Income, Invesco

- How the Asset Management industry functions
- Role of different players
- Different types of products
- Overview of the current landscape and AUM breakdowns for different Asset Managers and different product types in Asia
- Key differences between Asia vs. other key regions like US
- Growth zones: Local market (e.g. onshore China); Private Market; ESG/Thematic; Quant/AI

12:30pm-12:55pm Mutual Funds vs ETFs, and Future Product Trends

Antoine De Saint Vaulry, Director, Regional Head of ETF Sales and Business Development, APAC, Citi

- Flows and trends
- Contrasted Asian landscape
- From a Passive vs Active debate to a wrapper choice
- Distribution methods drive investors' wrapper choice

12:55pm-1:00pm Word Cloud, Q&A

Eugenie and all presenters

Session 6

Accelerating the Settlement Cycle

Thu 27 Jun 2024, 12nn-1pm HKT

12:00nn-12:05pm Welcome, Poll Question

Bruce Bao, Senior Associate – Equities and Post Trade, ASIFMA

12:05pm-12:25pm US Settlement Cycle Migration to T+1

George Jia, Country Manager, Greater China, Depository Trust & Clearing Corporation (DTCC)

- UST1 migration legal framework
- Explanation on difference among allocation, confirmation and affirmation
- Importance of Same Day Affirmation (SDA)
- Further considerations by Asian investors to further participate to UST1 settlement cycle

12:25pm-12:45pm Settlement Cycle in China

Ziming Lin, Manager, Clearing and Settlement Department, China Securities Depository and Clearing Corporation (CSDC)

- Information about CSDC
- Old model: why international investors understand China market as T+0/T+1
- New model: China turns into T+1DVP
- Global choice: T+1 stands out

12:45pm-1:00pm Word Cloud, Q&A

Bruce and all presenters

Session 7

Sustainable Finance in Asia

Tue 9 Jul 2024, 12nn-1pm HKT

12:00nn-12:05pm Welcome, Poll Question

Yvette Kwan, Executive Adviser – Asset Management Group, ASIFMA

12:05pm-12:35pm Definition and Origins of ESG and Sustainability

Eugene Goyne, Asia-Pacific Financial Services Regulatory Lead, EY

- Sustainability and financial institutions
- Long term goals and purpose
- ESG benefits and shortcomings
- ESG key elements
- Investor attitudes towards ESG

The Changing Regulatory Framework

Eugene Goyne, Asia-Pacific Financial Services Regulatory Lead, EY

- In the beginning...
- Global frameworks
- Climate risk management
- ISSB
- Nature risk

12:35pm-12:50pm Investment Strategies and Challenges

Gabriel Wilson-Otto, Head of Sustainable Investing Strategy, Fidelity International

- Overview of different definitions of Sustainable Investing
- Introduction to common market practices and popular sustainable investing strategies
- Overview of challenges from incomplete or inconsistent data and a lack of universal standards of sustainability and product labelling

12:50pm-1:00pm Word Cloud, Q&A

Yvette and all presenters

Session 8

DLT in Capital Markets: Tokenisation and Other Use Cases

Fri 16 Aug 2024, 12nn-1pm HKT

12:00nn-12:05pm Welcome, Poll Question

Laurence Van der Loo, Managing Director – Technology & Operations, ASIFMA

12:05pm-12:20pm Introduction to DLT

Alice Chen, Co-Founder and General Counsel, InvestaX

- Understanding Blockchain Technology
- Landscape of RWA Tokenization
- How RWA Tokenization Works?

12:20pm-12:35pm Benefits and Challenges

Lena Ng, Partner, Clifford Chance

- Benefits of DLT
- Development of the DLT Ecosystem
- Challenges of DLT – Regulatory/Legal and Technical/Operational

12:35pm -12:50pm Capital Markets Use Cases of DLT

Rajeev Tummala, Head of Digital & Data – Asia & MENA, HSBC Securities Services

- Transformation of asset representation
- HSBC Orion – Journey
- HSBC's Participation in Tokenisation Initiatives
- Tokenised Treasuries
- Other Tokenisation Use Cases

12:50pm-1:00pm Word Cloud, Q&A

Laurence and all presenters

Session 9

Mainland-Hong Kong Connect Schemes

Thu 26 Sep 2024, 12nn-1pm HKT

12:00nn-12:05pm Welcome, Poll Question

Eugenie Shen, Managing Director – Head of Asset Management Group, ASIFMA

12:05pm-12:20pm Stock Connect

Blake Wang, Partner, Fangda Partners

- Overview - Eligible Stocks, Trading Rules, Limits/Restrictions
- Inclusion of ETFs
- Investor - Eligibility, Disclosure, Shareholder Rights
- Regulatory Cooperation
- Comparison with QFIs

12:20pm-12:35pm Bond Connect, SWAP Connect

Kimi Liu, International Partner, He Ping Law Firm

- Overview of the Bond Connect
- Northbound Trading Link
- Southbound Trading Link
- Latest Development and Outlook
- Overview of the SWAP Connect
- Key Documentation Involved in the SWAP Connect

12:35pm -12:50pm Mutual Recognition of Funds (MRF), Wealth Management Connect (WMC)

Sandra Lu, Partner, Llinks Law Offices

- Northbound MRF, Southbound MRF
- Northbound WMC, Southbound WMC

12:50pm-1:00pm Word Cloud, Q&A

Eugenie and all presenters

Session 10

Data, Privacy Policy and the Cloud

Thu 17 Oct 2024, 12nn-1pm HKT

12:00nn-12:05pm Welcome, Poll Question

Diana Parusheva-Lowery, Managing Director – Public Policy and Sustainable Finance, ASIFMA

12:05pm-12:20pm 101 – Why Data Matters?

Douglas Arner, Kerry Holdings Professor in Law, The University of Hong Kong

12:20pm-12:35pm Data Privacy and Cross-border Issues – The Current Landscape

Alex Roberts, Partner, Linklaters

- Significance of data protection compliance
- Evolution of data privacy in Asia
- Interaction between data privacy and other regulatory schemes
- Expected key trends in Asia

12:35pm -12:50pm Use of Cloud in Financial Services

Anum Malkani, Financial Services Policy Lead, Asia Pacific & Japan, Amazon Web Services (AWS)

- Cloud computing for financial services: trends and use cases
- Security and resilience with the cloud
- Policy and regulatory considerations

12:50pm-1:00pm Word Cloud, Q&A

Diana and all presenters

Session 11

Navigating ASEAN Markets

Tue 26 Nov 2024, 12nn-1pm HKT

12:00nn-12:05pm Welcome, Poll Question

Philippe Dirckx, Managing Director – Head of Fixed Income, ASIFMA

12:05pm-12:30pm ASEAN Economies – A Macroeconomic Bird’s Eye View

Louis Kuijs, Chief Economist, Asia Pacific, S&P Global Ratings

- How do ASEAN economies compare to other EMs in terms of economic structure, macro fundamentals?
- How are they faring as they try to catch up with rich countries?
- Can domestic growth remain solid?
- How will ASEAN economies be affected by a new US administration?
- How is the role of ASEAN economies in global supply chains evolving?

12:30pm -12:55pm Islamic Banking and Sukuk Market

Mohamed Damak, Managing Director, Sector Lead Financial Institutions & Global Head of Islamic Finance, S&P Global Ratings

- Key difference between Islamic and conventional finance
- Key figures of the Islamic finance industry, its main components and expected growth
- Outlook for the Islamic banks in the key APAC markets
- Difference between sukuk and conventional bonds, what drives the sukuk market and key challenges
- Key future potential accelerators of the industry

12:55pm-1:00pm Word Cloud, Q&A

Philippe and all presenters

Session 12

Regulatory Developments – What You Should Know

Wed 11 Dec 2024, 12nn-1pm HKT

12:00nn-12:05pm Welcome, Poll Question

Patrick Pang, Managing Director – Head of Compliance and Tax, ASIFMA

12:05pm-12:20pm China

Ellen Mao, Chief Compliance Officer, Morgan Stanley Investment Management China

- High-level guidance:
 - The State Council Opinions on Enhancing Regulation, Preventing Risks and Accelerating the High-quality Development of Capital Market
 - The CSRC Opinions on Strengthening the Supervision of Securities Companies and Publicly Offered Funds to Accelerate the Building of First-Class Investment Banks and Investment Institutions (Trial)
- Three round rate reform in mutual fund industry:
 - decrease management fee reduction
 - brokerage commission reduction – the CSRC new regulation on securities trading commission fee of mutual funds
 - future decrease of fund sales fee
- The revision of PRC AML Law, the PBOC new rules on beneficial owners, and impact on FMCs
- The CAC Provisions on Facilitating and Regulating Cross-border Data Flow, and impact on FMCs

12:20pm -12:35pm Hong Kong

Adrian Fong, Associate, Latham & Watkins

- SFC Guidelines for Market Soundings

12:35pm -12:50pm Singapore

Evan Lam, Partner, Linklaters

- The expanded Guidelines on Fair Dealing
- New consumer protection measures for digital assets
- Latest in AML/CFT developments

12:50pm-1:00pm Word Cloud, Q&A, Closing

Patrick and all presenters