

COMPLIANCE AND LEGAL CONFERENCE

INAUGURAL ASIA EVENT |
DESIGNED BY THE INDUSTRY, FOR THE INDUSTRY



Event Factsheet

Dates: Wed-Thu 29-30 May 2019

Location: Mandarin Oriental Hong Kong – The Connaught Room, 1st Floor

Objective:

To create a flagship annual event in Asia for compliance and legal that is designed by the industry for the industry where the industry (sell-side, buy-side, regulators, law firms, consultants and media) can come together once a year to meet and discuss important issues impacting this area.

Program format:

Two-day conference with keynote speeches, panels, two streams, sponsored workshops and learning labs with ample time to network.

Speaker profile:

Global and Asian policy makers, regulators, central banks, industry experts and senior representatives from sell-side and buy-side communities.

At ASIFMA, we are committed to ensuring **diversity and inclusion** in our workplace and this policy is applied in all areas of our business including speaker roles at our events and conferences. We wish to work with members and partners who share this same commitment and are willing to support this policy.

Sponsorship:

Expecting event sponsors to come from legal firms, Big 4, consultants and technology utilities/platforms.

To effectively engage the esteemed audience and connect with the global and regional community, various sponsorship packages with speaking slot inclusion and advertising opportunities are open to ASIFMA members and non-members for more brand and marketing exposure as well as increasing lead generation.

This will be supplemented by sponsored workshops and sponsored learning labs at the breaks. Limited exhibit opportunities would be available as well.

Launch of an ASIFMA paper:

ASIFMA may launch a project/ paper/ survey during the event and organize a follow-up meeting with regulators.

Delegate profile:

Expecting 200-300 high-level regulators, policy makers, industry experts, sell-side and buy-side representatives, initially by invitation and then marketed to a wider audience.

Delegate fees:

Admission is complimentary for selected senior regulators, media and confirmed speakers but not institutional investors or corporate treasurers as this is not a trading event. Discounted rate for members of ASIFMA and the conference endorsers with full rates for non-members.

The delegate fee structure is as follows:

	Early-bird Rate by 29 Mar 2019		Standard Rate	
	Non-transferrable	Transferrable	Non-transferrable	Transferrable
Member	USD300	USD350	USD350	USD400
Non-Member	USD400	USD450	USD450	USD500

Rates are set lower than competitors and in consultation with the compliance committee and the program working group at a level in order to ensure healthy attendance. Offer of multi-pass discount (e.g. buying a minimum of 10 passes) for member firms will be studied. Sponsorship packages include a fixed number of delegate passes.

Marketing:

The conference is promoted through engagement of ASIFMA members in Asia and internationally, and by leveraging the contacts and connections of the conference Endorsers, Media Partners, AFME and SIFMA. The conference website is at www.asifma.org/compliance-legal2019.

Media:

The event is open to the press (unless specified). For all media inquiry, please contact Corliss Ruggles (cruggles@asifma.org).

Role of Compliance Committee (CC) and AAMG Regulatory Committee (RC):

- Endorsement of the event.
- Members of CC and RC to form a program working group to drive the event agenda.
- Get relevant legal colleagues to join.
- Suggest and help with getting relevant speakers.
- Suggest sponsors.

Reference:

SIFMA 2019, 24-27 March 2019, Phoenix, AZ

- 2000+ delegates (Law firms, consultants, Banks, Buy-side, Regulators, ISV, Media)
- 4 days with up to 10 streams simultaneously; ends each day at 1pm for networking; a 'destination conference'
- 60 sponsors (Presidential: Exiger, GT Greenburg Traurig, Quislex; Diamond: Allen&Overy, Bressler Amery Ross, Cravath, Crowell Moring, Davis Polk, EY, Fried Frank, Kirdand & Ellis, Morgan Lewis, Paul Weiss, Ropes & Gray, Sullivan and Cromwell; Platinum: Alix Partners, DLA Piper, Jones Day, King & Spalding; Gold: Accenture, Wachtell, Lipton, Cadalader, Cleary Gottlieb, Clifford Chance, Debevoise&Plimpton, FITS, Goodwin, KPMG, Latham Watkins, Neal Gerber, Norton Rose, Orrick, Shearman & Sterling, Sidley, Skadden, Stroock, Weil, WilmerHale, Winston&Strawn, Zltech; Bronze: Actiance, Covington, Hogan Lovells, Integreon, K&L Gates, More&VanAllen, Murphy&McGonigle, Schulte Roth, Sia Partners; Premium Patrons: Dechert, Deloitte, DrinkerBiddle, Katten, Keesal Young, Mayanard, Nardello, Navigant, Sheppard Mullin, Vedder Price, Venable)
- 36 exhibitors (8of9, ACA, Accenture, Actiance, Axion, BatesGroup, Charles Schwab, Exari, Exiger, EY, Fidelity, FIS, FITS, FSDA, GlobalRelay, Hearsay, IMS, Intapp, Integreon, Intelligize, King&Spalding, Law360, MicroFocus, MCO, n-Tier, Nice Actimize, Pwc, Quislex, RegEd, RRS, SiaPartners, SIFMA, Smarsh, TD Ameritrade, Veritone, Zltech.
- 5 media partners (Asia First, IFLR, NexChange, The Asian Banker, Reuters BreakingViews)
- Supported by Compliance and Legal Society

AFME 2018, 1-2 October 2018, London

- 2nd Edition
- 300+ delegates (Law Firms, Consultants, Banks, Buy-side, Regulators, ISV, Media)
- 2 days with 3 streams at certain points in the program
- 8 sponsors (Lead Partners: Herbert Smith Freehills, Linklaters, Shearman & Sterling, EY; Partners: Allen & Overy, Capital Forensics, Clifford Chance, Latham and Watkins)
- 8 endorsers (APCC, ICA, ICSA, ICMA, IRTA, PIMFA, SSDA, WBF)
- 6 media partners (FN, Best Execution, Compliance, Global Capital, Mlex, Practical Insights)
- Supported by Compliance Committee