ASIFMA KYC Workshop - KYC and Institutional Investors: Opportunities and Challenges in India
28 February 2017, Mumbai

Program (Final)

Location: Dr. R. H. Patil Auditorium, National Stock Exchange, BKC, Mumbai
Remarks: Closed to the media; Estimated 100-150 people

09:00-09:30 Registrants Check-in

09:30-09:40 Welcome Address
  • Mark Austen, CEO, ASIFMA

09:40-10:00 Opening Remarks
  • J. Ravichandran, CEO- Incharge, National Stock Exchange of India (NSE)

10:00-10:30 Presentation 1: Global Regulatory Developments Around KYC
  • Suveer Khanna, Partner, KPMG in India

10:30-11:00 Presentation 2: Expectations and Findings on KYC in India
  • Muzammil Patel, Partner, Deloitte Touche Tohmatsu India LLP

11:00-11:15 Coffee Break

11:15-12:30 Panel 1: Foreign Portfolio Investors and KRAs Versus CKYCR
  • Shri Achal Singh, DGM, Investment Management Department, Division of Foreign Portfolio Investors and Custodians, SEBI
  • Vaishali Babu, SVP, Head Local Product Development, BNP Paribas Securities Services India
  • Cyrus Khambata, MD, CDSL Ventures Limited
  • Parveen Kumar Sharma, Registrar, MD & CEO, CERSAI
  • Sriram Krishnan, Head of Investor Services, India, Deutsche Bank
  • Rajesh M Sharma, CEO, Orbis Financial Corporation Ltd
  • Jyoti Tandon, ED & Head of Compliance – India, Company Secretary, Nomura (Moderator)

Endorsers:
12:30-13:00  **Presentation 3: Strategies to Cope with Dual KYC Obligations**
- Madhu Sinha, Director AML Compliance & AMLCO, Citibank India

13:00-14:00  **Buffet Lunch (Networking)**

14:00-15:15  **Panel 2: Global Approach and Technological Developments**
- Ben Ray, Head of Sales, Europe and Asia, Client Data Solutions, Bloomberg
- Aaryaman Vir Shah, Co-Founder, Elemential
- Pierre Lubin, Managing Partner, Fairman Consulting
- Sankarson Banerjee, CTO – Projects, National Stock Exchange of India (NSE)
- Alistair Duff, Singapore Country Head; Director, Business Development, S.E.Asia Lab & Research Center, R3
- Naofumi Sukegawa, Director Compliance Services, APAC, SWIFT
- Dominic Mac, Global Head of Business Development, KYC and Client Onboarding Solutions, Risk Managed Services, Thomson Reuters
- Arun Das, Advisor - Financial Services & Fintech (Moderator)

15:15-15:30  **Concluding Remarks and Wrap up**
- Mark Austen, CEO, ASIFMA