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ASIFMA KYC Workshop - KYC and Institutional Investors: Opportunities and Challenges in India

28 February 2017, Mumbai

Program (Final)

Location: Dr. R. H. Patil Auditorium, National Stock Exchange, BKC, Mumbai

Remarks: Closed to the media; Estimated 100-150 people

09:00-09:30 **Registrants Check-in**

09:30-09:40 **Welcome Address**

- **Mark Austen, CEO, ASIFMA**

09:40-10:00 **Opening Remarks**

- **J. Ravichandran, CEO- Incharge, National Stock Exchange of India (NSE)**

10:00-10:30 **Presentation 1: Global Regulatory Developments Around KYC**

- **Suveer Khanna, Partner, KPMG in India**

10:30-11:00 **Presentation 2: Expectations and Findings on KYC in India**

- **Muzammil Patel, Partner, Deloitte Touche Tohmatsu India LLP**

11:00-11:15 **Coffee Break**

11:15-12:30 **Panel 1: Foreign Portfolio Investors and KRAs Versus CKYCR**

- **Shri Achal Singh, DGM, Investment Management Department, Division of Foreign Portfolio Investors and Custodians, SEBI**
- **Vaishali Babu, SVP, Head Local Product Development, BNP Paribas Securities Services India**
- **Cyrus Khambata, MD, CDSL Ventures Limited**
- **Parveen Kumar Sharma, Registrar, MD & CEO, CERSAI**
- **Sriram Krishnan, Head of Investor Services, India, Deutsche Bank**
- **Rajesh M Sharma, CEO, Orbis Financial Corporation Ltd**
- **Jyoti Tandon, ED & Head of Compliance – India, Company Secretary, Nomura (Moderator)**

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- 12:30-13:00 **Presentation 3: Strategies to Cope with Dual KYC Obligations**
- **Madhu Sinha**, Director AML Compliance & AMLCO, **Citibank India**
- 13:00-14:00 **Buffet Lunch (Networking)**
- 14:00-15:15 **Panel 2: Global Approach and Technological Developments**
- **Ben Ray**, Head of Sales, Europe and Asia, Client Data Solutions, **Bloomberg**
 - **Aaryaman Vir Shah**, Co-Founder, **Elemential**
 - **Pierre Lubin**, Managing Partner, **Fairman Consulting**
 - **Sankarson Banerjee**, CTO – Projects, **National Stock Exchange of India (NSE)**
 - **Alistair Duff**, Singapore Country Head; Director, Business Development, S.E.Asia Lab & Research Center, **R3**
 - **Naofumi Sukegawa**, Director Compliance Services, APAC, **SWIFT**
 - **Dominic Mac**, Global Head of Business Development, KYC and Client Onboarding Solutions, Risk Managed Services, **Thomson Reuters**
 - **Arun Das**, Advisor - Financial Services & Fintech (*Moderator*)
- 15:15-15:30 **Concluding Remarks and Wrap up**
- **Mark Austen**, CEO, **ASIFMA**

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