



**Asia-Pacific  
Economic Cooperation**

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Growing Asia's Markets



**APEC Business Advisory Council**



HONG KONG MONETARY AUTHORITY  
香港金融管理局



SECURITIES AND  
FUTURES COMMISSION  
證券及期貨事務監察委員會

## APFF Roundtable on Financial Innovation

# Fintech 2016: Challenges and Opportunities for Asian Industry and Regulators

Co-hosted by the Hong Kong Monetary Authority

**Date:** Friday 15 July 2016  
**Venue:** The Hong Kong Monetary Authority (HKMA) office, Level 56, IFC Two, Central, HK  
**Time:** 08:30-20:00

### 8:30-08:45 **Welcome and opening remarks**

- Mark Austen, Chief Executive Officer, ASIFMA
- Hiroyuki Suzuki, Vice Chairman, Nomura Research Institute; Chair, ABAC Finance and Economics Working Group; and Chair, Asia-Pacific Financial Forum
- Howard Lee, Senior Executive Director, Hong Kong Monetary Authority

### 8:45-09:30 **Panel 1: The evolving Fintech regulatory landscape in global financial centers**

*Master of Ceremonies:*  
Mark Austen, Chief Executive Officer, ASIFMA

*Plenary Panel:*

- John Schindler, Chair of Financial Innovation Network and Member of the Secretariat, Financial Stability Board
- Edward Stockreisser, Co-Founder, SeedAlpha; Chairman, AsiaIRP
- Michael Hirson, U.S. Treasury Financial Attaché, Embassy of the United States of America to the People's Republic of China

## Supporting Organizations



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9:30-10:15 **Panel 2: The evolving Fintech regulatory landscape in Asia**

*Master of Ceremonies:*

Julius Caesar Parrenas, Coordinator, APFF; and Senior Advisor, Nomura

*Plenary Panel:*

- Bénédicte N. Nolens, Senior Director and Head of Risk and Strategy, Hong Kong Securities and Futures Commission
- Douglas Arner, Professor, Faculty of Law, University of Hong Kong
- Catherine Simmons, Managing Director, Head of Government Affairs, Citi

10:15-10:30 **Coffee break**

*Special thanks to PayPal*

10:30-11:15 **Panel 3: Distributed ledger technology**

*Overview:*

- Scott Likens, Emerging Technology Leader, PwC

*Moderator:*

Endre Markos, Regional Head, Execution-to-custody, Asia Pacific, Citi

*Panel discussants:*

- Mike Kayamori, Co-Founder CEO, QUOINE
- William Piquard, Business Developer, Head of Strategy, Gatecoin Limited

11:15-12:00 **Panel 4: Cybersecurity, data privacy and the cloud**

*Overview:*

- Damien Bailey, Partner, Herbert Smith Freehills

*Moderator:*

Annabella Fu van Bijnen, Partner, Linklaters

*Panel discussants:*

- Andrew Cooke, Regional Director - Legal Affairs, Microsoft
- Andrew Green, Group Director, PTS Consulting Group
- Raymond Cheng, Group General Manager and Asia Pacific Chief Operations Officer, HSBC

12:00-13:00 **Lunch and networking break**

*Special thanks to EY*

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### Workshop sessions<sup>1</sup> (1h 10m each)

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13:00-14:10

#### **Workshop session 1A**

##### ***Alternative lending/crowdfunding/P2P***

###### *Overview:*

Kieran Garvey, Programme Manager, Cambridge Centre for Alternative Finance, Cambridge Judge Business School

###### *Moderator:*

Matthew Gamser, CEO, SME Finance Forum, International Finance Corporation

###### *Panel discussants:*

- Liu Dawei, Senior Vice President, CreditEase
- Jing Pan, Chief Marketing Officer, Dianrong.com
- Sangche Lee, Senior Research Fellow and Head of the Center for International Financial Cooperation, Korea Institute of Finance

###### *Rapporteur:*

- Catherine Simmons, Managing Director, Head of Government Affairs, Citi

#### **Workshop session 1B**

##### ***Regtech***

###### *Overview:*

Conan French, Senior Technology Advisor, Institute of International Finance

###### *Moderator:*

Janos Barberis, Founder, FinTech HK

###### *Panel discussants:*

- Sun Tao, Senior Director of Strategy and Research Department, Ant Financial
- Jeremiah Sadow, Vice President, Financial Crimes Analytics, Citi
- Raymond Tsui, Manager, SIA Partners

###### *Rapporteur:*

- Christina Ellerker, Executive Director, Office of Government Affairs, Goldman Sachs

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<sup>1</sup> Workshop sessions will contain a mixture of regulators, industry participants, fintech start-ups, innovation hubs and academics. Workshops will commence with a presentation summarizing the topic (15 mins), followed by a panel discussion (30 mins), a moderated session with the audience on the topic (20 mins), and a summary by the rapporteur (5 mins)

14:10-15:20

**Workshop session 2A**  
***Artificial intelligence, machine learning and robo-advisors***

*Overview:*

James Mckeogh, Partner, FinTech, KPMG

*Moderator:*

James Mckeogh, Partner, FinTech, KPMG

*Panel discussants:*

- Philip Enness, Global Solutions Manager, Banking and Financial Markets , IBM Singapore Pte Ltd
- Frank Wang, Head and CIO, ToumiRA
- Mikhaal Abdulla, Co-Founder and CEO, 8 Securities Limited
- Adrian Gostick, Managing Director, Asia Pacific, BondIT Ltd

*Rapporteur:*

- Rajdeep Rathi, Senior Analyst, Regulatory, Industry and Government Affairs, State Street Bank and Trust

**Workshop session 2B**  
***KYC, AML, and Financial Crime***

*Moderator:*

Mark Austen, Chief Executive Officer, ASIFMA

*Panel discussants:*

- Cyrus Khambata, Managing Director, CDSL Ventures Limited
- Mark Davies, Managing Director, DTCC
- David Williams, Director and Head of APAC Product, MCPM & KYC Services, Markit
- Dominic Mac, Global Head of Business Development, Thomson Reuters
- Andrew Burlison, Head of Compliance Services, Asia Pacific, SWIFT

*Rapporteur:*

- Rebecca Turner Lentchner, Head of Government Relations APAC, BNY Mellon

15:20-16:30

**Workshop session 3A**  
***Market and reference data***

*Overview:*

Marc Rubinfeld, Head of Eagle Solutions for EMEA and APAC, BNY Mellon

*Moderator:*

Michael Turner, President, Policy and Economic Research Council

*Panel discussants:*

- Thomas DeLuca, CEO, AMP Credit Technologies
- Michael Taylor, Managing Director and Chief Credit Officer APAC, Moody's Asia Pacific Limited
- Ankush Tewari, Senior Director, Market Planning, LexisNexis Risk Solutions
- John Zeng, Director, FIC Electronic Trading, Asia, Deutsche Bank

*Rapporteur:*

- Paul Smith, Director Product and Propositions, Asia Pacific, Experian Decision Analytics

**Workshop session 3B**  
***Digital payments***

*Overview:*

Yuqing Guo, Managing Consulting Partner, PwC

*Moderator:*

Boon-Hiong Chan, Director and Head - Market Advocacy APAC, MENA, Deutsche Bank

*Panel discussants:*

- David Katz, Deputy Head of Global Government Relations & Head of Asia Pacific Government Relations, PayPal
- Robert Bell, CEO, Klickex
- Clive Isenberg, Managing Director, Octet

*Rapporteur:*

- Laura Winwood, Assistant Vice President, Government Affairs, Citi

16:30-16:45

**Coffee break**

*Special thanks to PwC*

16:45-17:45 **Panel 5: Regulation and Fintech**

*Moderator:*

John Schindler, Chair of Financial Innovation Network and Member of the Secretariat, Financial Stability Board

*Panel discussants:*

- Josiah Lam, Senior Manager, Financial Infrastructure Department, Hong Kong Monetary Authority
- Sangche Lee, Senior Research Fellow and Head of the Center for International Financial Cooperation, Korea Institute of Finance
- Michael Hirson, U.S. Treasury Financial Attaché, Embassy of the United States of America to the People's Republic of China
- James Lloyd, Asia-Pacific FinTech leader, EY
- Alokik Advani, Managing Director and Head, Principal Strategic Investments Group, Goldman Sachs

*Rapporteur:*

- Andrew Godwin, Associate Director, Asian Law Centre, Melbourne Law School, The University of Melbourne

17:45-18:00 **Closing remarks**

18:00-20:00 **Reception and networking**

*Special thanks to Herbert Smith Freehills*

**Venue: Red Bar, Level 4, IFC Mall, Central**

*Reception speakers (18:30-18:50)*

- Hiroyuki Suzuki, Vice Chairman, Nomura Research Institute; Chair, ABAC Finance and Economics Working Group; and Chair, Asia-Pacific Financial Forum
- Will Hallatt, Partner, Herbert Smith Freehills
- Mark Austen, Chief Executive Officer, ASIFMA